

DSS INTERNAL WORKERS'  

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COMPENSATION  

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PROCESS  

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# OBJECTIVE OF THE TRAINING

## How to file a Workers' Compensation Claim



All employees are instructed to contact their supervisor when incurring a work related injury or illness. The supervisor's role is divided into two functions: Claim Reporting and Claim Review.

You are the most effective person in the reporting and review of the claim because you are the person with whom the injured employee communicates with to initiate a claim for benefits.

Your attention to claim reporting and claim review will allow the claim to be handled with prompt attention, avoid complications for employees and provide useful information to protect employees from exposure to future injuries.

# SUPERVISOR RESPONSIBILITY

TPA Reference No.		Agency use only Incident No.:		<b>DAS WC-207</b>  <i>First Report of Injury</i>	
		Claim No.:			
<small>The Supervisor must complete this form with the injured worker and then forward it along with the balance of the claim forms to the Human Resources/Workers' Compensation Office within 24 hours.</small>					
1. Agency Location Code		2. Division/Region			
3. SSN	4. Employee Number	5. Name of Injured Worker (First) (Last) (MI)			
6. Home Address (City or Town) (State) (Zip)		7. Home Telephone	8. Date of Birth	9. Sex	
10. Job Classification (Title)		11. Date of Hire	12. Date of Incident	13. Time of Incident	
14. Time Employer Notified	15. Date Employer Notified	16. Time Injured Worker Began Work _____ <input type="checkbox"/> AM <input type="checkbox"/> PM	17. Was Injury Fatal? <input type="checkbox"/> YES <input type="checkbox"/> NO	18. Date of Fatality	
19. How Did the Injury Occur?					
20. Type of Injury		21. Body Part(s) Affected			
22. Did Injury Occur on Employer Premises? <input type="checkbox"/> YES <input type="checkbox"/> NO		23. Location Injury Occurred			
24. Injured Worker Seeking Medical Treatment <input type="checkbox"/> YES <input type="checkbox"/> NO <small>If Yes Complete Questions 25-27</small>		25. Medical Care Provided By: (Physician Name and Address)			
26. Was Injured Worker Treated in an Emergency Room? <input type="checkbox"/> YES <input type="checkbox"/> NO		27. Was Injured Worker Hospitalized Overnight as an In-Patient? <input type="checkbox"/> YES <input type="checkbox"/> NO			
28. Were There Any Witnesses to the Injury? <input type="checkbox"/> YES <input type="checkbox"/> NO (If yes, give name, address, and phone)					
29. To What Supervisor Was Injury Reported? (Name) (Title)					
30. Supervisor Contact Info Please Print		Name:			
		Work Phone:			
		Best Time to Contact:			
31. Signature of Supervisor (or other Designated Authority)		PRINT NAME:		DATE:	
32. Date Injury Phoned In To 800-828-2717					

Supervisors Report All Injuries - Call 1-800-828-2717

- Sign Report and call into **Gallagher Basset Reporting Hotline** to receive a Reference Number.
- Complete First Report of Injury (DAS WC-207)

⊙ In response to the WC-207-1, the Workers' Compensation Liaison will send a letter to the employee confirming the receipt of the Workers' Comp claim.

## **The Letter Must Include:**

- Medical requirements  
(treatment)
- FMLA Rights
- Timesheet coding for medical appointments
- Forms to be completed by the Employee

**A listing of Medical Provider Network  
for Workers' Compensation Doctors can  
be found on the DAS Website:**

**<http://das.ct.gov/cr1.aspx?page=64>**

**Important forms to be completed are:**

◎ **3<sup>rd</sup> Party Liability**

# DAS Concurrent Employment Third Party Liability Form

Per WC-211 Rev. 2/05

## EMPLOYEE TO COMPLETE

Employee Name (Last) _____ (First) _____ (MI) _____	Social Security Number _____
Address (No. and Street) _____	Telephone Number _____
City or Town _____	Date of Injury _____
Employing State Agency _____	Date of Birth _____
Address of Employing Agency (No. and Street) _____ Zip _____	Date First Employed by State _____

## EMPLOYEE INSTRUCTIONS

The information requested on concurrent employment below is necessary to determine your Workers' Compensation benefit rate:

1. You must complete this form for every Workers' Compensation claim you file.
2. You must keep the information contained in this form current while you are receiving Workers' Compensation benefits.
3. You must return this form to your personnel office within three days.

Note: If your claim is for Temporary Total or Temporary Partial disability benefits, you must advise your employer of any other earnings while receiving these benefits. Failure to do so may result in civil and/or criminal liability.

## CONCURRENT EMPLOYMENT CHECK IF ANY OF THE FOLLOWING APPLY: NONE

Employed by Another State Agency  Employed Outside State Government

Name of Other Employer _____	Supervisor's Name _____	Telephone Number of Employer _____
Address of Employer (No. and Street) _____	City or Town _____	State _____ Zip _____

## THIRD PARTY LIABILITY INFORMATION

1. Was the cause of your accident/injury the result of the actions of a party other than you or your employer?  
 Yes  No

If you checked yes, please describe the facts.  
 Name the Third Party \_\_\_\_\_  
 Address \_\_\_\_\_  
 Insurance Carrier of Third Party \_\_\_\_\_

2. Were there any witnesses?  
 Yes  No   
 Name of witness(es) \_\_\_\_\_

3. Have you initiated a claim against this responsible Third party?  
 Yes  No  Date \_\_\_\_\_

I DECLARE THAT THE ABOVE STATEMENTS ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND I AM AWARE THAT PROVIDING FALSE INFORMATION MAY RESULT IN CIVIL, OR CRIMINAL LIABILITY.

Signature \_\_\_\_\_ Date \_\_\_\_\_

# ◎ Tax Filing Status

## Form 1A





State of Connecticut  
Workers' Compensation Commission

Please TYPE or PRINT IN INK

Rev. 3-17-2005

1A

## Filing Status and Exemption

This form must be executed in every case of compensable disability for injuries occurring ON OR AFTER October 1, 1991, and must be completed in its entirety.

WCC File # \_\_\_\_\_

Date filed in District \_\_\_\_\_

(for WCC use only)

### EMPLOYEE

Name \_\_\_\_\_ Soc. Sec. # \_\_\_\_\_  
Address \_\_\_\_\_  
City/Town \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

### FILING STATUS AND EXEMPTIONS

— In order to determine your weekly benefit rate, as per Sec. 31-310 C.G.S., we need the following information:

- Select your Federal tax filing status based upon your ACTUAL filing status as of the date of injury listed at right:  
 Single     Head of Household     Married filing jointly     Married filing separately
- Number of exemptions (excluding yourself) as of the date of injury listed at right = \_\_\_\_\_
- Check all appropriate boxes:  
 Employee 65 years of age or older     Employee legally blind     Spouse 65 years of age or older     Spouse legally blind
- FICA withheld for the above-named employee? .....  YES .....  NO — If NO, Insurer must manually calculate weekly benefit rate.
- List name (yourself), date of birth, and relationship to you for all exemptions included in question #2, above:

### DATE OF INJURY:

\_\_\_\_\_

The Filing Status and Exemption(s) indicated at left MUST reflect employee's Federal tax status for the Date of Injury provided here.

Name	Date of Birth	Relationship
		SELF
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

### CONCURRENT EMPLOYMENT

— To be certain you receive all the benefits to which you are entitled, provide the following information if you were working for more than one employer on the date of injury indicated above:

Name of Employer	Address	Date of Hire
_____	_____	_____
_____	_____	_____
_____	_____	_____

NOTE: Wage information for each concurrent employer must be supplied by the claimant.

### SIGNATURE OF INJURED WORKER OR REPRESENTATIVE

WARNING: Any person who intentionally misrepresents or fails to disclose any material fact related to a claimed injury may be guilty of a felony.

Employee's Signature \_\_\_\_\_ Date \_\_\_\_\_

◎ **DAS-WC-715 (Request for  
Use of Accrued Leave while  
on Workers' Comp)**

# Request for Use of Accrued Leave with Workers' Compensation

# DAS WC-715

3-10

This form covers an employee election to utilize or not utilize accrued leave (existing balances and additional accruals as credited) during the interim period and/or to supplement lost wage benefits on an approved workers' compensation claim. The Agency Section shall be completed with the initial agency processing of the **LOST TIME** claim and provided to the injured employee with instruction to make an election and **RETURN WITHIN 10 BUSINESS DAYS**. This form is to be maintained in the injured worker's agency workers' compensation file.

### AGENCY SECTION

Agency Name		Department ID					
Employee Name		Employee ID					
Date of Injury	Daily Pay Rate	LEAVE BALANCES As of date of Injury Denoted in Hours	Sick	Vacation	Personal	Holiday Comp	Comp

**EMPLOYEE ELECTION SECTION** - Please check your choice of the options available to you then sign and return to your agency Workers' Compensation office **within ten business days**. Failure to return the completed form to the agency will be administered as an election **not** to utilize accrued leave during the interim period and **not** to supplement the approved workers' compensation lost wage benefit.

### USE OF ACCRUED LEAVE FOR INTERIM PERIOD

- I elect **NOT** to use accrued leave during the interim period (after the first day of my incapacity and continuing until such time as a determination of compensation is made).
- I elect to use accrued leave during this interim period. By choosing this option I will receive my full base pay while a determination of compensation is being made. I understand that, once a compensation award has been made, I must repay the State an amount equal to the net pay I would have received during such interim period in order for my leave balances to be restored. I further understand that sick leave must be used first, followed by my designated choice of vacation, personal, holiday compensatory time and/or compensatory leave, as designated below.

Indicate the order in which you wish to use leave balances (if any), upon the exhaustion of your sick leave, by entering the number 2,3,4,5 in each box:	Sick	Vacation	Personal	Holiday Comp	Compensatory
	1				

### USE OF ACCRUED LEAVE WHILE RECEIVING WORKERS' COMPENSATION

- I elect **NOT** to use any of my accrued leave while I am receiving Workers' Compensation lost wage benefits.
- I elect to use accrued leave, which in addition to the lost wage benefits awarded to me under Workers' Compensation, will result in my receiving the equivalent of my full base pay while I am receiving Workers' Compensation lost wage benefits. I further understand that sick leave must be used first, followed by vacation and/or personal leave, as designated below.

Indicate the order in which you wish to use leave balances (if any), upon the exhaustion of your sick leave, by entering the number 2 or 3 in each box:	Sick	Vacation	Personal
	1		

### STATEMENT OF APPLICANT

I have read and understand the above explanation of the choices available to me as a result of my application for workers' compensation. Once made, this election cannot be revoked and will remain in effect until all accrued leave (including any future accruals that may be credited to me) is exhausted or until I return to my pre-injury number of scheduled work hours. I agree to the conditions applicable to the choices I have checked above.

**EMPLOYEE**

SIGNATURE OF EMPLOYEE

DATE SIGNED



INSTRUCTIONS

- To be completed by initial care or attending physician and provided to the injured worker as part of the office visit.
- Mail or fax copy to State of Connecticut Third Party Claim Administration Company within 24 hours of the office visit.
  - Gallagher Bassett Services, Inc., 55 Hartland St., Suite 400, East Hartford, Connecticut 06108  
Fax: (860) 291-9875  
Phone: (860) 256-3400

To be Completed By Initial Care Physician or Attending Physician

Employee Name			Social Security Number			State Agency		
Division			Facility			Address		
Date of Office Visit: ___/___/___			Date of Injury: ___/___/___			(Circle) Initial Visit <input type="checkbox"/> Follow-Up Visit <input type="checkbox"/>		
Diagnosis: _____								
Treatment Plan: _____								

Evidence of pre-existing condition: Yes  No  Injury/illness casually related to worker's employment: Yes  No

Patient work disposition (Please check the appropriate work disposition)

- Patient is capable of full and regular duty.
- Patient is not capable of any form of work.
- Patient is capable of modified/restricted work as indicated below

Note: In terms of a normal work day; Occasionally = Up to 33%, Frequently = Up to 66%, and Continuously = Up to 100%

	Never	Occ.	Freq.	Cont.	No Restrictions
a. Patient is able to:					
Bend	<input type="checkbox"/>				
Squat	<input type="checkbox"/>				
Kneel	<input type="checkbox"/>				
Stand	<input type="checkbox"/>				
Walk	<input type="checkbox"/>				
Climb Stairs	<input type="checkbox"/>				
Twist	<input type="checkbox"/>				
Rotate	<input type="checkbox"/>				
Push/Pull	<input type="checkbox"/>				
Lift above shoulder	<input type="checkbox"/>				
Reach above shoulder	<input type="checkbox"/>				

	Never	Occ.	Freq.	Cont.	No Restrictions
b. Patient is able to lift					
Up to 10lbs	<input type="checkbox"/>				
11-24lbs	<input type="checkbox"/>				
25-34lbs	<input type="checkbox"/>				
35-50lbs	<input type="checkbox"/>				
51-74lbs	<input type="checkbox"/>				
75-100lbs	<input type="checkbox"/>				

	Never	Occ.	Freq.	Cont.	No Restrictions
c. Patient is able to carry					
Up to 10lbs	<input type="checkbox"/>				
11-24lbs	<input type="checkbox"/>				
25-34lbs	<input type="checkbox"/>				
35-50lbs	<input type="checkbox"/>				
51-74lbs	<input type="checkbox"/>				
75-100lbs	<input type="checkbox"/>				

	Never	Occ.	Freq.	Cont.	No Restrictions
d. Patient is able to use hands					
Keyboard Typing	<input type="checkbox"/>				
Grasping	<input type="checkbox"/>				

- e. Is patient involved with treatment and/or medication that might affect his/her ability to work?  
 No  
 Yes: Explanation: \_\_\_\_\_
- f. Will patient be required to use any assistive devices or braces while working regular or modified/restricted duty?  
 No  
 Yes: Explanation: \_\_\_\_\_

Physician Comments: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

The restrictions are in effect until: \_\_\_/\_\_\_/\_\_\_ Next appointment Date: \_\_\_/\_\_\_/\_\_\_

Name of Physician: \_\_\_\_\_ Signature: \_\_\_\_\_  
Please Print

ARRIVED: \_\_\_\_\_  
 DEPARTED: \_\_\_\_\_  
 TRAVEL: \_\_\_\_\_

Authorization to Release Information

I hereby authorize this Medical Provider to release my information acquired in the course of my examination or treatment for the above injury to my employer or it's representative.

\_\_\_\_\_  
 Patient's Name (Print) Patient's Signature Date

◎ Workers' Comp  
Information Sheet  
(next page)



## CONTACT LIST AND PHONE NUMBERS

GBS Injury Reporting Hotline  
1-800-828-2717

GBS Recurrence Reporting Hotline  
1-866-220-6534

Gallagher Bassett Services, Inc.  
55 Hartland Street  
Suite 400  
East Hartford, CT 06108

Main Phone Number: 860-256-3400  
Toll Free Number: 866-422-7622  
FAX Numbers: 860-291-9875  
860-291-9839

Prime Health Services (Medical Network)  
7110 Crossroad Blvd.  
Brentwood, TN 37027 866-348-3887

myMatrixx (Pharmacy Network)  
5706 Benjamin Center Drive  
Tampa, FL 33634-5262 877-804-4900

Department of Administrative Services  
Workers' Compensation Division  
165 Capitol Avenue  
Hartford, CT 06106

Phone Number: 860-713-5002  
FAX Number: 860-713-7458

Workers' Compensation Fraud  
Reporting Hotline: 800-927-0456

# The Human Resources Liaison

## Must Ensure:

- **A copy of the WC-207 and 207-1 forms and all supporting documentation go to Central Workers' Comp Unit.**

**◎ Central Workers'  
Compensation Unit  
will review and  
confirm the  
information.**

Upon completion and return of all forms:

- ◎ **Lost time and general medical information details will be sent to your Supervisor/ Manager & Human Resources Representative.**

Remember,  
Safety Begins  
with You!